#### Bootstrapping Vision-Language Learning with Decoupled Language Pre-training

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## Background: Vision-Language Models (VLMs) with Frozen LLM



Flamingo [1]

BLIP-2 [2]

Vision-language models are the foundation for various tasks including visual-questionanswering (VQA), image captioning, image-text retrieval, and visual reasoning. Current paradigm pre-trains VLMs with frozen LLMs using image-text pairs.

[1] Alayrac, Jean-Baptiste, et al. "Flamingo: a visual language model for few-shot learning." NeurIPS, 2022. [2] Li, Junnan, et al. "Blip-2: Bootstrapping language-image pre-training with frozen image encoders and large language models." ICML 2023.

#### **End-to-End Training of VLMs**



VLMs can be trained end-to-end in an image-conditioned language generation task

• limitation: End-to-end optimization of such a model is challenging [1]

[1] Li, Junnan, et al. "Blip-2: Bootstrapping language-image pre-training with frozen image encoders and large language models." ICML 2023.

#### VLM Training: Two-Stage Approach



BLIP2 proposes a two-stage training for effective pre-training of VLMs using frozen LLMs.

- Representation learning of a **Q-Former** to extract most text-informative visual features.
- 2. Aligning the selected visual features to the corresponding text.

#### VLM Training: Finding the Ideal Soft-Prompts



We provide a novel insight to mitigate the challenges in end-to-end optimization by introducing "backward-decoupling" during back-propagation.

- learning an ideal soft-prompt of the LLM, given the target text.
- 2. Aligning the visual features to the learned soft-prompts.

# Training of Prompt-Transformer (P-Former) for Soft-Prompts Predictions



- The P-Former training resembles an autoencoder, with the bidirectional P-Former as the encoder and a causal LLM (frozen) as the decoder.
- The objective is to reconstruct input text auto-regressively. [CLS] representation serves as sentence embeddings, which are projected back to the length of prompts.
- This training process is purely based on text, allowing the P-Former to benefit from text outside the image-text pair dataset.

#### **Training VLMs with P-Former**



Overview of bootstrapping VL pre-training with the trained P-Former. **The alignment loss introduced by P-Former is agnostic to input modalities, encoders, and** X-to-language connection modules.

#### **Training BLIP2 with P-Former**

The overview of applying trained P-Former to the BLIP2 pre-training framework.

 $\mathcal{L}_{BLIP2-stage1} + \omega_1 \times \mathcal{L}_{alignment}$  $\mathcal{L}_{BLIP2-stage2} + \omega_2 \times \mathcal{L}_{alignment}$ 

#### **Experimental Results on Zero-Shot VQA**

Models	#Pretrain Image-Text	Pretrain Uni-Text	val V	QAv2 test-dev	OK-VQA test	GQA test-dev
FewVLM [24]	9.2M	-	47.7	-	16.5	29.3
Frozen 56	3M	-	29.6	-	5.9	-
VLKD 9	3M	-	42.6	44.5	13.3	-
Flamingo3B [2]	1.8B		-	49.2	41.2	<u>–</u> 11
OPT <sub>2.7B</sub> BLIP-2 [34]	4M	-	46.8	45.6	25.9	30.5
OPT <sub>2.7B</sub> Ours	4M	$\checkmark$	52.6	52.2	30.0	34.0
OPT <sub>2.7B</sub> BLIP-2 <sup>†</sup> [34]	129M	-	53.5	52.3	31.7	34.6

Table 1: Comparison with different methods on zero-shot VQA <sup>†</sup>: numbers taken from Li et al. [34].

Our proposed framework significantly enhances the zero-shot VQA performance of BLIP-2 trained with 4M image-text pairs. Remarkably, the gap between the BLIP-2 trained with 4M and 129M image-text pairs is largely bridged by our method

#### **Experimental Results on Image Captioning**

Models #Pretrai		NoCaps Zero-shot (validation set) in-domain near-domain out-domain overall					COCO Karp	Fine-tuned athy test			
		C	S	C	S	C	S	C	S	B@4	С
OSCAR 38	4M	-	-	-	-	-	-	80.9	11.3	37.4	127.8
VinVL 69	5.7M	103.1	14.2	96.1	13.8	88.3	12.1	95.5	13.5	38.2	129.3
BLIP 33	129M	114.9	15.2	112.1	14.9	115.3	14.4	113.2	14.8	40.4	136.7
OFA [58]	20M	-	-	-	-	-	-	-	-	43.9	145.3
Flamingo 2	1.8B	-	-	-	-	-	-		-	-	138.1
SimVLM [61]	1.8B	113.7	-	110.9	-	115.2	-	112.2	-	40.6	143.3
OPT <sub>2.7B</sub> BLIP-2 34	4M	115.3	15.0	111.0	14.6	112.5	14.0	111.9	14.5	41.8	140.4
OPT <sub>2.7B</sub> Ours	4M	118.3	15.3	114.7	14.9	114.1	14.1	115.1	14.8	42.3	141.8
OPT <sub>2.7B</sub> BLIP-2 <sup>†</sup> [34]	129M	123.0	15.8	117.8	15.4	123.4	15.1	119.7	15.4	43.7	145.8

Table 2: Comparison with different captioning methods on NoCaps and COCO. All methods optimize the cross-entropy loss during fine-tuning. C: CIDEr, S: SPICE, B: BLEU. <sup>†</sup>: numbers taken from Li et al. [34].

Our framework improves BLIP-2 in all metrics, with greater improvements in CIDEr compared to SPICE.

#### **Ablation Studies: Alignment Loss on Two Stages**

$\omega_1$	$\omega_2$	VQAv2 val	OK-VQA test	A GQA test-dev
0	0	46.8	25.9	30.5
10	0	51.4	29.2	32.8
0	100	50.4	28.7	33.0
10	100	52.6	30.0	34.0

Table 4: Ablations on  $\omega_1$  and  $\omega_2$  of Equation 8 and 9 (using OPT<sub>2.7B</sub> as LLMs).

 $\mathcal{L}_{BLIP2-stage1} + \omega_1 \times \mathcal{L}_{alignment}$ 

 $\mathcal{L}_{\text{BLIP2-stage2}} + \omega_2 \times \mathcal{L}_{\text{alignment}}$ 

The alignment loss introduced by the P-Former proves beneficial in both stages of VL pre-training,

#### **Ablation Studies: Different LLM Decoders**

Models	#Pretrain Image-Text	VQAv2 val	OK-VQA test	GQA test-dev
Flan-T5 <sub>XL</sub> BLIP-2 <sup>‡</sup>	4M	48.3	31.5	36.4
Flan-T5 <sub>XL</sub> ours <sup>‡</sup>	4M	54.9	35.7	40.3
Flan-T5 <sub>XL</sub> BLIP-2 <sup>†</sup>	129M	62.6	39.4	<b>44.4</b>

Table 5: Experiments using Flan-T5<sub>XL</sub> as LLM. <sup>‡</sup>: using much less GPUs/epochs compared to Sec.4.1. <sup>†</sup>: from Li et al. [34].

Besides the OPT language decoders, we verify the effectiveness of our framework with another LLM.

#### Ablation Studies: Pre-training Datasets for P-Former

D Formar	#Pretrain	VQAv2	<b>OK-VQA</b>	GQA
P-Former	Sentences	val	test	test-dev
×	÷	46.8	25.9	30.5
$\checkmark$	4M	51.7	28.2	32.3
$\checkmark$	12M	52.6	30.0	34.0

Table 6: Ablations on sentence datasets used to train P-Former (using  $OPT_{2.7B}$  as LLMs). The first row w/o P-Former is baseline BLIP-2.

Both the implicit decoupling of BLIP-2's two-stage training into a 3-stage training (pre-training of P-Former), and the employment of additional unimodal sentences contribute to the improved outcomes

#### **Ablation Studies: Video-Language Tasks**





[]	BLEU-4	CIDEr	ROUGE
NITS-VC 53	20.0	24.0	42.0
ORG-TRL 71	32.1	49.7	48.9
$\mathcal{L}_{ITG}$	29.3	56.6	48.2
$\mathcal{L}_{ITG} + \mathcal{L}_{alignment}$	<b>30.9</b>	60.9	<b>49.1</b>

Table 7: VATEX English video captioning. Baseline is a sequential model (I3D  $\rightarrow$  Transformer  $\rightarrow$  OPT<sub>2.7B</sub>), training end-to-end with ITG.

Our framework is modality-agnostic with respect to the visual encoder and vision-to-language adaptor, making it applicable to other modalities, such as video.

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### Thank you!