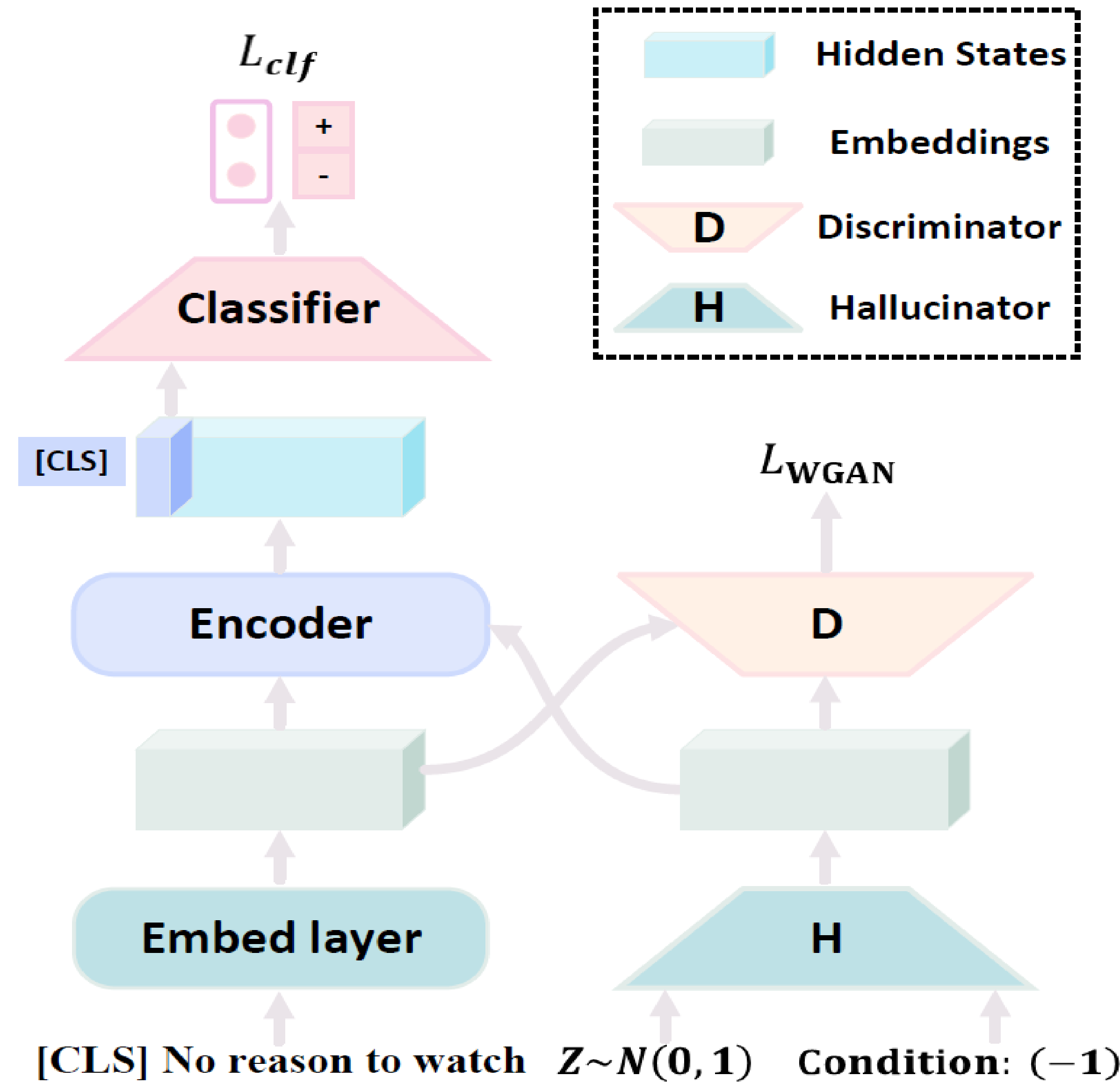


Contributions

- An embedding hallucination method for data augmentation for few-shot learning, based on cWGAN [1].
- Evaluate Embedding Hallucination on 15 tasks and show that it generally improves over recent fine-tuning methods.
- Show the overall superiority of EmbedHalluc when comparing to regularization methods proposed to address the problem of over-fitting during fine-tuning of LMs and outperforms a common augmentation method.

Overview



Overview of our method

Hallucinated Embedding

$$\mathcal{L}_{halluc} = \text{KL}(\mathcal{M}(s_{halluc}(c_i)), c_{pseudo,i})$$

$$\mathcal{L}_{total} = \mathcal{L}_{real} + \mathcal{L}_{halluc}$$

Algorithm

Algorithm 1 Our method: EmbedHalluc

```

1:  $Max\_Step = 1000$ ,
2:  $LM$ : Language model,
3:  $H$ : Embedding hallucinator (pre-trained),
4:  $Train\_Set$ : Training set,
5:  $Sample$ : Randomly sampling function,
6:  $CE$ : Cross Entropy loss,
7:  $KL$ : KL-divergence loss.
8: for  $i$  in  $Max\_Step$  do  $\triangleright$  Training  $LM_1$ 
9:    $sent, y = Sample(Train\_Set)$ 
10:   $output_1 = LM_1(sent)$ 
11:   $L = CE(output_1, y)$ 
12:   $L.backward()$ 
13:   $optimizer.step()$ 
14: end for
15: for  $i$  in  $Max\_Step$  do  $\triangleright$  Training  $LM_2$ 
16:   $sent, y = Sample(Train\_Set)$ 
17:   $embed = H(N(0, 1), c)$ 
18:    $\triangleright$  Learning from real text
19:   $output_1 = LM_2(sent)$ 
20:   $L_{real} = CE(output_1, y)$ 
21:   $L_{real}.backward()$ 
22:   $optimizer.step()$ 
23:    $\triangleright$  Learning from hallucination
24:   $prob_2 = LM_1(embed)$ 
25:   $output_2 = LM_2(embed)$ 
26:   $L_{halluc} = KL(prob_2, output_2)$ 
27:   $L_{halluc}.backward()$ 
28:   $optimizer.step()$ 
29: end for
30: return  $LM_2$ 

```

Conventional fine-tuning

Task	Fine-tuning	EmbedHalluc	w/LabelCalib
SST-2 (acc)	76.8 (4.2)	82.6 (5.6)	82.0 (4.7)
Subj (acc)	90.3 (1.5)	91.3 (0.8)	91.3 (0.9)
SST-5 (acc)	40.6 (2.2)	40.3 (1.5)	41.6 (2.6)
CoLA (Matt.)	36.0 (9.9)	39.7 (10.8)	38.1 (11.8)
TREC (acc)	83.0 (4.9)	88.1 (2.5)	87.9 (1.0)
MNLI (acc)	41.6 (5.2)	48.0 (9.5)	49.6 (5.8)
MNLI-mm (acc)	42.7 (5.9)	49.7 (10.5)	51.8 (6.1)
SNLI (acc)	52.9 (6.7)	54.4 (3.4)	52.3 (5.3)
QNLI (acc)	55.3 (2.7)	60.2 (5.3)	64.9 (5.1)
QQP (acc)	59.2 (8.6)	64.6 (5.0)	66.7 (5.3)
RTE (acc)	52.9 (1.4)	53.4 (1.7)	55.9 (4.3)
MRPC (F1)	76.3 (5.2)	78.7 (1.9)	78.1 (3.0)
MR (acc)	74.5 (5.9)	79.4 (5.5)	80.8 (3.2)
MPQA (acc)	65.0 (1.5)	70.1 (7.0)	70.5 (4.6)
CR (acc)	71.7 (7.5)	75.1 (5.6)	78.0 (3.8)

Comparison of conventional fine-tuning and our EmbedHalluc

Prompt-based fine-tuning

Task	Prompt-based	EmbedHalluc	w/LabelCalib
SST-2 (acc)	92.7 (0.4)	92.8 (0.7)	93.1 (0.7)
Subj (acc)	91.3 (1.0)	92.0 (0.4)	91.7 (1.3)
SST-5 (acc)	48.8 (1.0)	49.0 (2.2)	49.4 (1.4)
CoLA (Matt.)	7.3 (5.8)	12.3 (7.6)	22.1 (15.6)
TREC (acc)	83.8 (5.3)	85.5 (3.3)	87.1 (2.9)
MNLI (acc)	69.7 (2.0)	68.0 (2.8)	68.5 (1.7)
MNLI-mm (acc)	71.5 (1.9)	69.9 (3.0)	70.6 (1.7)
SNLI (acc)	78.0 (3.0)	78.8 (2.3)	78.4 (2.3)
QNLI (acc)	68.6 (2.8)	69.6 (0.3)	71.6 (2.0)
QQP (acc)	70.2 (4.3)	71.9 (5.2)	74.2 (0.9)
RTE (acc)	70.9 (3.3)	69.9 (3.3)	66.9 (3.4)
MRPC (F1)	74.6 (6.8)	78.0 (4.9)	80.3 (3.5)
MR (acc)	86.8 (0.9)	87.2 (0.9)	87.5 (0.9)
MPQA (acc)	85.4 (1.8)	84.2 (1.9)	85.4 (1.9)
CR (acc)	91.1 (1.0)	91.1 (0.9)	91.3 (0.3)

Comparison of prompt-based fine-tuning and our EmbedHalluc

Hallucinator

